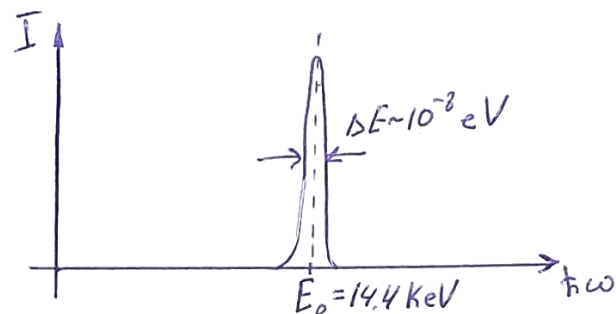


Mößbauer effect: recoiles resonance fluorescence

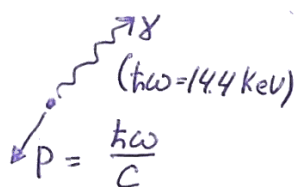
Natural width of the ^{57}Fe line: $\Delta E \cdot \Delta t \geq \frac{\hbar}{2} \Rightarrow \Delta E \sim \frac{\hbar}{\Delta t} \sim \frac{6.6 \cdot 10^{-16} \text{ eV} \cdot \text{s}}{10^{-7} \text{ s}} \sim 10^{-8} \text{ eV}$

Emission/absorption in nuclear frame:

Quality factor: $Q = \frac{E_0}{\Delta E} \sim 10^{12}$ (!)



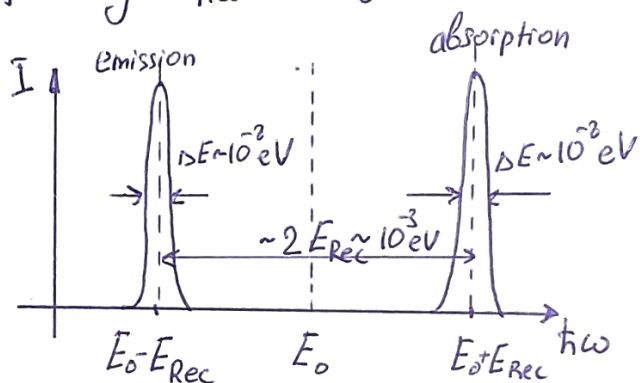
If the nucleus is free, we have to take recoil into account:



Recoil energy: $E_{\text{Rec}} = \frac{p^2}{2M} = \frac{(\hbar\omega)^2}{2Mc^2} \sim \frac{(14.4 \text{ keV})^2}{2 \cdot 5.6 \cdot 10^{10} \text{ eV}} \sim 2 \cdot 10^{-3} \text{ eV}$

Emission line will be shifted by E_{Rec} to lower energies.
Absorption line will be shifted by E_{Rec} to higher energies.

Emission/absorption in laboratory frame:



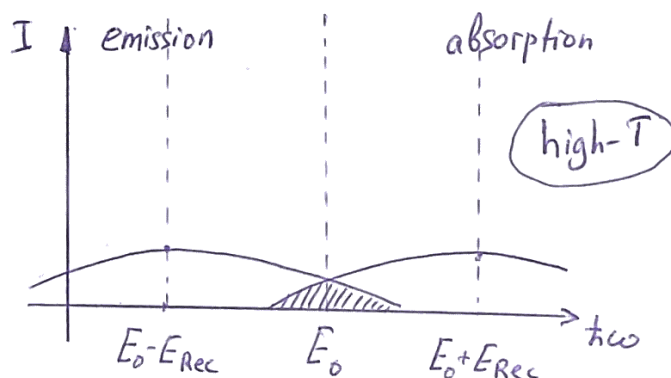
No resonance is possible, because the emission and absorption lines do not overlap.

Expectation: the emission and absorption lines can be broadened by $\sim kT$ because of the thermal motion (Doppler effect).

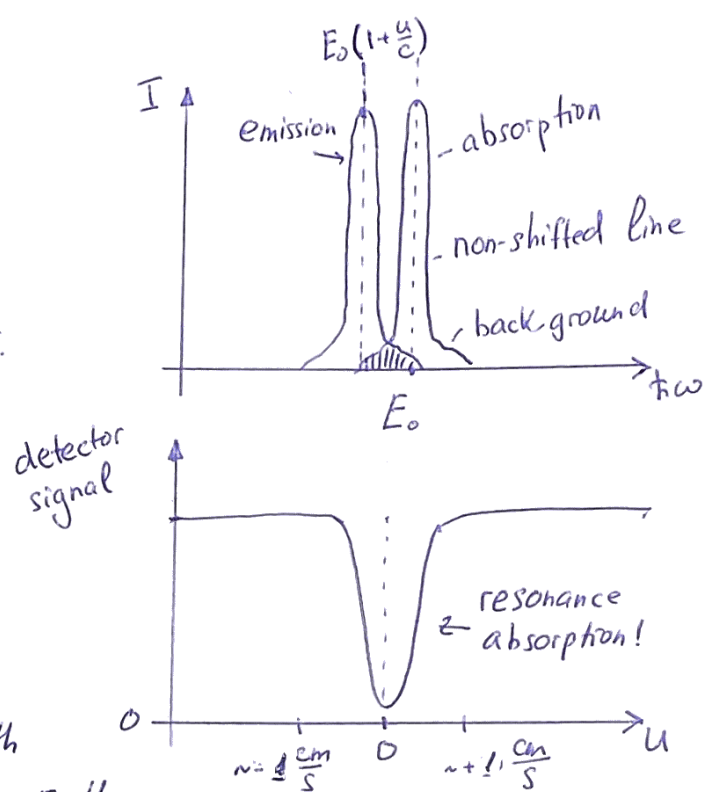
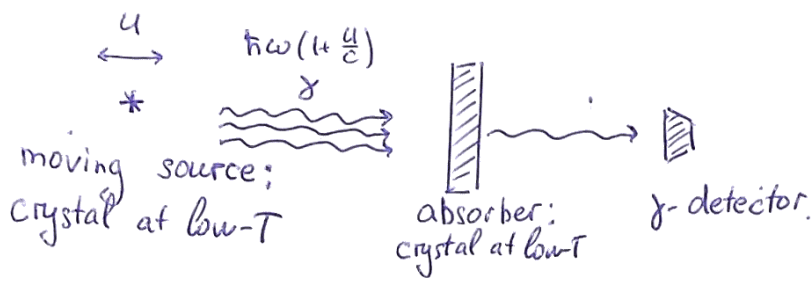
$$kT \sim 2.6 \cdot 10^{-2} \text{ eV} > E_{\text{Rec}}$$

$$k = 8.6 \cdot 10^{-5} \text{ eV/K}$$

$$T \sim 300 \text{ K}$$



Mössbauer experiment



Experimental observations:

- 1) Resonance absorption is stronger at low temperatures
- 2) There is unshifted line with natural width
- 3) The whole spectrum can be shifted by $E_0 \cdot \frac{u}{c}$ moving the source (or absorber) with the speed u .

to shift by ΔE (natural width): $u \approx \frac{\Delta E}{E_0} \cdot c \sim 10^{-12} \cdot 3 \cdot 10^{10} \frac{cm}{s} \approx 3 \cdot 10^{-2} \frac{cm}{s}$

Explanation:

In solids, at low temperatures, the recoil momentum is transferred to the whole crystal ($N \sim 10^{23}$ atoms). Therefore, the recoil energy is vanishingly small:

$$E_{rec} = \frac{p^2}{2MN} = \frac{(h\omega)^2}{2Mc^2 N} \xrightarrow{N \rightarrow \infty} 0$$

$p = \frac{h\omega}{c}$

It means that atoms in a crystal do not start to move in such a recoilless process. No phonons are created or absorbed.

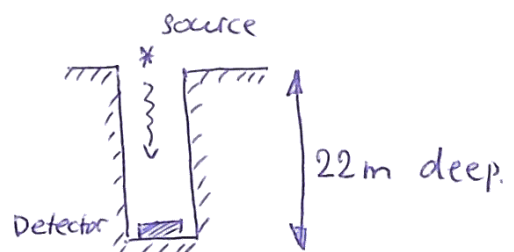
Applications:

- very accurate ($Q \sim 10^{12}$) measurement of the nuclear emission and absorption lines (chemical isomer shift, quadrupole splitting, magnetic splitting)

- Proof of the general relativity (Pound-Rebka, 1959)

gravitational red-shift was measured.

$\Delta(h\omega) \sim 2 \cdot 10^{-2} \Delta E$ - sophisticated but possible



Let us calculate the probability of recoilless absorption (emission)

Fermi's golden rule: $\Gamma_{i \rightarrow f} = \frac{2\pi}{\hbar} |\langle \{f\} | \hat{H}_{int} | \{i\} \rangle|^2 \rho(E_f)$

$\uparrow$ rate (probability) $\uparrow$ interaction between the γ -photon and the nucleus. $\uparrow$ density of final states

$\{f\}$ - final phonon state of the crystal

$\{i\}$ - initial phonon state of the crystal

"recoilless" means that $\{f\} \equiv \{i\}$, i.e. phonon state does not change

Let us find $\hat{H}_{int}$. The full Hamiltonian (interaction of a charged particle with an electromagnetic field):

$$\hat{H}_{full} = \sum_{\alpha} \frac{1}{2m_{\alpha}} \left(\vec{p}_{\alpha} - \frac{e_{\alpha}}{c} \vec{A} \right)^2 + \sum_{\alpha} e_{\alpha} \varphi$$

$\uparrow$ over nucleons $\uparrow$ Vector-potential $\uparrow$ scalar potential.

Using the Weyl gauge ($\varphi=0$),

$$\hat{H}_{full} = \underbrace{\sum_{\alpha} \frac{1}{2m_{\alpha}} (\vec{p}_{\alpha})^2}_{\text{kinetic energy of nucleons}} + \underbrace{\sum_{\alpha} \frac{1}{2m_{\alpha}} \left(\frac{e_{\alpha}}{c} \vec{A} \right)^2}_{\text{small correction}} - \underbrace{\frac{1}{c} \sum_{\alpha} \frac{e_{\alpha}}{m_{\alpha}} (\vec{p}_{\alpha} \cdot \vec{A})}_{\hat{H}_{int} \text{ in a dipole approximation}}$$

in an electromagnetic wave, $\vec{A}(\vec{r}) = \vec{A}_0 \cdot e^{i\vec{k}\vec{r} - i\omega t}$

Position of a nucleon is: $\vec{r}_{\alpha} = \vec{r}_n + \vec{\rho}_{\alpha}$

$\uparrow$ position of nucleus (center of mass) $\uparrow$ position of a nucleon inside the nucleus

$$\hat{H}_{int} \propto \underbrace{\frac{1}{c} \sum_{\alpha} \frac{e_{\alpha}}{m_{\alpha}} \vec{p}_{\alpha} \cdot \vec{A}_0}_{H_0 - \text{interaction of the } \gamma\text{-photon with the nucleus}} \cdot \underbrace{e^{i\vec{k}\vec{\rho}_{\alpha} - i\omega t}}_{\text{position of the nucleus}} \cdot e^{i\vec{k}\vec{r}_n} = H_0 \cdot e^{i\vec{k}\vec{r}_n}$$

Finally, the probability of recoilless absorption (emission) is determined by:

$$\Gamma_{i \rightarrow i} = \frac{2\pi}{\hbar} \cdot H_0^2 \cdot \rho(E_f) \cdot |\langle \{i\} | e^{i\vec{k}\vec{r}_n} | \{i\} \rangle|^2 \propto \underbrace{|\langle \{i\} | e^{i\vec{k}\vec{r}_n} | \{i\} \rangle|^2}_{\text{Lamb-Mössbauer factor}}$$

$$\langle \{i\} | e^{i\vec{k}\vec{r}_n} | \{i\} \rangle \equiv \langle e^{i\vec{k}\vec{r}_n} \rangle \rightarrow \text{average value (over phonons at any } T)$$

Position of a nucleus in a crystal: $\vec{R}_n = \vec{R}_n + \vec{u}_n$
 equilibrium position $\uparrow$ $\uparrow$ displacement due to phonons.

$$\Gamma_{i \rightarrow i} \propto |\langle e^{i\vec{k}\vec{R}_n} \rangle|^2 = |e^{i\vec{k}\vec{R}_n} \cdot \langle e^{i\vec{k}\vec{u}_n} \rangle|^2 = \underbrace{|e^{i\vec{k}\vec{R}_n}|^2}_{=1} |\langle e^{i\vec{k}\vec{u}_n} \rangle|^2 = |\langle e^{i\vec{k}\vec{u}_n} \rangle|^2$$

At low temperatures, $\vec{u}_n \approx 0 \Rightarrow e^{i\vec{k}\vec{u}_n} \approx 1$, which means that the probability of the Mössbauer effect is non-zero.

Displacement is small ($\vec{k}\vec{u}_n \ll 1$): $e^{i\vec{k}\vec{u}_n} \approx 1 + i(\vec{k}\vec{u}_n) - \frac{1}{2}(\vec{k}\vec{u}_n)^2 + \dots$

$$\langle e^{i\vec{k}\vec{u}_n} \rangle \approx 1 + i\langle \vec{k}\vec{u}_n \rangle - \frac{1}{2}\langle (\vec{k}\vec{u}_n)^2 \rangle + \dots$$

Let us introduce a unit vector $\vec{\tilde{k}} = \frac{\vec{k}}{k}$ pointing in the direction of the wave vector of the γ -photon.

$$\vec{k}\vec{u}_n = k \cdot (\tilde{k}^x u_n^x + \tilde{k}^y u_n^y + \tilde{k}^z u_n^z)$$

$$\langle \vec{k}\vec{u}_n \rangle = k \cdot (\tilde{k}^x \underbrace{\langle u_n^x \rangle}_0 + \tilde{k}^y \underbrace{\langle u_n^y \rangle}_0 + \tilde{k}^z \underbrace{\langle u_n^z \rangle}_0) = 0.$$

$$\langle (\vec{k}\vec{u}_n)^2 \rangle = k^2 \cdot \langle (\vec{\tilde{k}}\vec{u}_n)^2 \rangle$$

$$\langle e^{i\vec{k}\vec{u}_n} \rangle \approx 1 - \frac{1}{2} k^2 \langle (\vec{\tilde{k}}\vec{u}_n)^2 \rangle \approx e^{-\frac{k^2}{2} \langle (\vec{\tilde{k}}\vec{u}_n)^2 \rangle}$$

It can be shown, that $\langle u_n^x u_n^y \rangle = \langle u_n^x u_n^z \rangle = \dots = 0$.

$$\text{Therefore, } \langle (\vec{\tilde{k}}\vec{u}_n)^2 \rangle = \tilde{k}^x \langle u_n^x \rangle + \tilde{k}^y \langle u_n^y \rangle + \tilde{k}^z \langle u_n^z \rangle$$

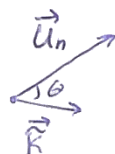
$$\text{for a cubic crystal, } \langle u_n^x \rangle + \langle u_n^y \rangle + \langle u_n^z \rangle = \langle u_n^2 \rangle$$

$$\text{and all directions are equivalent, so } \langle u_n^x \rangle = \langle u_n^y \rangle = \langle u_n^z \rangle = \frac{\langle u_n^2 \rangle}{3}$$

$$\langle (\vec{\tilde{k}}\vec{u}_n)^2 \rangle = \underbrace{(\tilde{k}^x \tilde{k}^x + \tilde{k}^y \tilde{k}^y + \tilde{k}^z \tilde{k}^z)}_{|\vec{\tilde{k}}|^2=1} \cdot \frac{\langle u_n^2 \rangle}{3} = \frac{\langle u_n^2 \rangle}{3}$$

Alternatively, averaging over all possible directions of a unit vector $\vec{\tilde{k}}$:

$$\overline{(\vec{\tilde{k}}\vec{u}_n)^2} = u_n^2 \cdot \frac{1}{4\pi} \int_0^\pi \cos^2 \theta \cdot \sin \theta d\theta \cdot \int_0^{2\pi} d\varphi = \frac{1}{3} u_n^2$$



Now let us calculate $\langle U_n^2 \rangle$.

For this we will consider phonon modes:

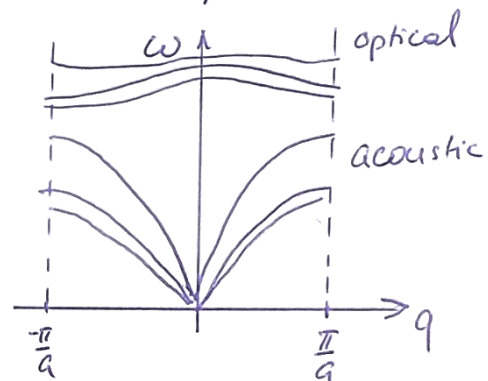
$$\vec{U}_n = \sum_{\xi} \vec{U}_{n\xi} \leftarrow \text{displacement of } n^{\text{th}} \text{ atom due to phonons.}$$

sum over all
phonon modes

phonon modes are indicated by index $\xi = \{\vec{q}, s\}$

$\vec{q}$ - wave vector

s - branch (acoustic or optical)



Let us consider a simple cubic crystal (however, the obtained result will be general)

For each phonon mode: $\vec{U}_{n\xi} = |U_{\xi}| \cdot \vec{e}_{\xi} \cdot \cos(\vec{q}\vec{R}_n - \omega_{\xi}t)$

amplitude ↑
polarization ↑

Let us estimate $|U_{\xi}|$: Energy of the phonon mode, when n_{ξ} phonons are excited:

$$E = \hbar\omega_{\xi} \left(n_{\xi} + \frac{1}{2} \right)$$

Energy of N atoms oscillating with magnitude $|U_{\xi}|$ and frequency ω_{ξ} :

$$E = N \cdot \frac{M\omega_{\xi}^2 \cdot |U_{\xi}|^2}{2}$$

These energies are equal $\Rightarrow |U_{\xi}| = \sqrt{\frac{2\hbar(n_{\xi} + \frac{1}{2})}{MN\omega_{\xi}}}$

$$\begin{aligned} \langle U_n^2 \rangle &= \left\langle \sum_{\xi} \vec{U}_{n\xi} \cdot \sum_{\xi'} \vec{U}_{n\xi'} \right\rangle = \sum_{\xi, \xi'} |U_{n\xi}| \cdot |U_{n\xi'}| \cdot \underbrace{\vec{e}_{\xi} \cdot \vec{e}_{\xi'} \cdot \langle \cos(\vec{q}\vec{R}_n - \omega_{\xi}t) \cdot \cos(\vec{q}'\vec{R}_n - \omega_{\xi'}t) \rangle}_{\delta_{\xi\xi'} = \frac{1}{2}} \\ &= \frac{1}{2} \sum_{\xi} |U_{n\xi}|^2 = \sum_{\xi} \frac{\hbar(n_{\xi} + \frac{1}{2})}{MN\omega_{\xi}} \end{aligned}$$

Finally, $\langle e^{i\vec{k}\vec{u}_n} \rangle \approx e^{-\frac{1}{2}k^2 \cdot \frac{1}{3} \langle u_n^2 \rangle} = e^{-\frac{1}{2}k^2 \cdot \frac{1}{3} \cdot \sum_{\vec{z}} \frac{\hbar(n_z + \frac{1}{2})}{MN\omega_z}} = e^{-\frac{1}{2}W}$

Debye-Waller factor: $W = \frac{k^2}{3} \cdot \sum_{\vec{z}} \frac{\hbar(n_z + \frac{1}{2})}{MN\omega_z}$

Debye-Waller factor can be also expressed using phonon density of states.

$$W = \underbrace{\frac{\hbar^2 k^2}{2M}}_{E_{\text{Rec}}} \cdot \frac{1}{3N} \cdot \sum_{\vec{z}} \frac{2n_z + 1}{\hbar\omega_z} = E_{\text{Rec}} \cdot \int_0^{\omega_{\text{max}}} d\omega \cdot \underbrace{\frac{1}{3N} \sum_{\vec{z}} \delta(\omega - \omega_z)}_{g(\omega)} \cdot \frac{2n_\omega + 1}{\hbar\omega}$$

$$= E_{\text{Rec}} \int_0^{\omega_{\text{max}}} d\omega \cdot g(\omega) \cdot \frac{2n_\omega + 1}{\hbar\omega}, \text{ where } n_\omega = \frac{1}{e^{\frac{\hbar\omega}{kT}} - 1}$$

(Bose-Einstein statistics of phonons)

at low temperatures: $n_\omega \approx 0$

$$W(T \rightarrow 0) = E_{\text{Rec}} \cdot \int_0^{\omega_{\text{max}}} \frac{1}{\hbar\omega} \cdot g(\omega) d\omega = E_{\text{Rec}} \cdot \underbrace{\left\langle \frac{1}{\hbar\omega} \right\rangle}_{\text{finite value in 3D.}}$$

at high temperatures: $n_\omega \approx \frac{kT}{\hbar\omega} \Rightarrow W \approx E_{\text{Rec}} \cdot \int_0^{\omega_{\text{max}}} \frac{2kT}{(\hbar\omega)^2} \cdot g(\omega) d\omega \propto T$

Probability of the Mössbauer effect:

$$\Gamma_{i \rightarrow f} \propto |\langle e^{i\vec{k}\vec{u}_i} \rangle|^2 = e^{-W} \propto e^{-dT} \text{ decreases with temperature}$$

Addendum

It can be shown, that in any crystal with N unit cells and $j=1,2,\dots,g$ atoms in a unit cell, the displacement of the atom $\{n,j\}$ due to the phonon mode $\{\vec{q},s\}$ can be written as

$$\vec{u}_{jn} = \frac{1}{\sqrt{M_j N}} \cdot \left\{ \vec{e}_{j\{\}} \cdot Q_{\{\}} e^{i\vec{q}\vec{R}_n - i\omega_{\{\}} t} + \vec{e}_{j\{\}}^* \cdot Q_{\{\}}^* e^{-i\vec{q}\vec{R}_n + i\omega_{\{\}} t} \right\}$$

here $\vec{e}_{j\{\}}$ is polarization vector

$Q_{\{\}}$ is the magnitude of oscillations.

Different phonon modes are orthonormal: $\sum_q e_{j\{q,s\}}^{\alpha} e_{j\{q,s'\}}^{*\beta} = \delta^{\alpha\beta} \delta_{ss'}$

$$\vec{u}_{jn} = \frac{1}{\sqrt{M_j N}} \cdot \sum_{\vec{q},s} \left\{ \vec{e}_{j\{\}} \cdot Q_{\{\}} e^{i\vec{q}\vec{R}_n - i\omega_{\{\}} t} + \vec{e}_{j\{\}}^* \cdot Q_{\{\}}^* e^{-i\vec{q}\vec{R}_n + i\omega_{\{\}} t} \right\}$$

$$\begin{aligned} \langle u_{jn}^{\alpha} u_{jn}^{\beta} \rangle &= \frac{1}{M_j N} \cdot \left\langle \sum_{\vec{q},s} \left\{ e_{j\{\}}^{\alpha} \cdot Q_{\{\}} e^{i\vec{q}\vec{R}_n - i\omega_{\{\}} t} + e_{j\{\}}^{*\alpha} \cdot Q_{\{\}}^* e^{-i\vec{q}\vec{R}_n + i\omega_{\{\}} t} \right\} \right. \\ &\quad \cdot \left. \sum_{\vec{q}',s'} \left\{ e_{j\{\}}^{\beta} \cdot Q_{\{\}} e^{i\vec{q}'\vec{R}_n - i\omega_{\{\}} t} + e_{j\{\}}^{*\beta} \cdot Q_{\{\}}^* e^{-i\vec{q}'\vec{R}_n + i\omega_{\{\}} t} \right\} \right\rangle \end{aligned}$$

$$\begin{aligned} \langle u_{jn}^{\alpha} u_{jn}^{\beta} \rangle &= \frac{1}{M_j N} \cdot \sum_{\vec{q},s} \left\{ e_{j\{\}}^{\alpha} \cdot Q_{\{\}} e_{j\{\}}^{*\beta} \cdot Q_{\{\}}^* + e_{j\{\}}^{*\alpha} \cdot Q_{\{\}}^* e_{j\{\}}^{\beta} \cdot Q_{\{\}} \right\} \\ &= \frac{1}{M_j N} \sum_{\vec{q},s} |Q_{\{\}}|^2 \cdot \left\{ \underbrace{e_{j\{q,s\}}^{\alpha} \cdot e_{j\{\vec{q},s\}}^{*\beta}}_{\delta^{\alpha\beta}} + \underbrace{e_{j\{q,s\}}^{*\alpha} \cdot e_{j\{q,s\}}^{\beta}}_{\delta^{\alpha\beta}} \right\} < \delta^{\alpha\beta} \end{aligned}$$